

FORM NO. MGT-9

EXTRACT OF ANNUAL RETURN

As on the financial year ended on 31st March, 2020

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. Registration and other Details:

i	CIN	L36911RJ1989PLC004945
ii	Registration Date	8th May, 1989
iii	Name of the Company	Vaibhav Global Limited
iv	Category / Sub Category of the Company	Company Limited by Shares
v	Address of the Registered Office and contact details	K-6B, Fateh Tiba, Adarsh Nagar, Jaipur – 302004 Tel. No. 91-141-2601020; Fax No. 91-141-2605077 Email: investor_relations@vaibhavglobal.com; Website: www.vaibhavglobal.com
vi	Whether listed Company	Yes
vii	Name, address and contact details of Registrar and Share Transfer Agent	KFin Technologies Private Limited Selenium Tower B, Plot No. 31 – 32, Gachibowli Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad – 500 032 Tel No.: 91-40-67162222; Fax No.: 91-40-23001153 Email: einward.ris@kfintech.com, Website: www.kfintech.com

II. Principal Business Activities of the Company:

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:-

Sr. No.	Name and description of main products / services	NIC Code of the product / service	% to total turnover of the Company
1.	Manufacturing and export of all kind of jewellery, colored gems stones, precious and semi-precious stones, and studded jewellery.	321	94.36

III. Particulars of Holding, Subsidiary and Associate Companies:-

Sr. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of Shares Held	Applicable Section
1.	Brett Enterprises Private Limited 5, Kitab Mahal, 192 Dr. D.N.Road, Fort, Mumbai - 400 001	CIN:U27100MH1995PTC084578	Holding Company	55.94%	2(46)
2.	VGL Retail Ventures Ltd. (Formerly: Genoa Jewelers Limited) 34 Cybercity 10th Floor, Ebene Heights Building, Ebene, Mauritius.	Foreign Company	Wholly-owned subsidiary	100%	2(87)
3.	STS Jewels Inc. 100 Michael Angelo way, STE 400 D, Austin Texas -78728, USA	Foreign Company	Wholly-owned subsidiary	100%	2(87)
4.	STS Gems Limited Room 506, 5/F, Tower A, Hunghom Commercial Centre, No. 39 Ma Tau Wai Road, Hunghom, Kowloon, Hong Kong	Foreign Company	Wholly-owned subsidiary	100%	2(87)
5.	STS Gems Thai Limited 919/350, Jewelry Trade Center Building, Silom Road, 28th Floor, Room A, Silom, Bangrak, Bangkok, 10500- Thailand	Foreign Company	Wholly-owned subsidiary	100%	2(87)
6.	STS Gems Japan Limited RMC Building, 1st Floor, 5-11-1 UENO, TAITO-KU TOKYO – 110-0005	Foreign Company	Wholly-owned subsidiary	100%	2(87)
7.	Shop TJC Limited (Formerly: The Jewellery Channel Ltd.) Surrey House, Plane Tree, Crescent, Feltham TW13 7HF, UK	Foreign Company	Step-down subsidiary	100% through subsidiary company	2(87)
8.	Shop LC Global Inc. 100 Michael Angelo Way, Suite 300, Austin Texas-78728, USA	Foreign Company	Step-down subsidiary	100% through Step-down subsidiary company	2(87)
9.	PT. STS Bali Jl. Sekar Tunjung No. 6A, Ds Kesiman, Kertalangu Denpasar, Bali, Indonesia	Foreign Company	Step-down subsidiary	100% through subsidiary company	2(87)
10.	STS (Guangzhou) Trading Limited Panyu, Guangzhou City, China	Foreign Company	Step-down subsidiary	100% through subsidiary company	2(87)

IV. Share Holding Pattern (Equity Share Capital breakup as percentage of total equity)

(i) Category-wise shareholding :

Sr. No	Category of Shareholder	No. of shares held at the beginning of the year				No. of shares held at the end of the year				% Change during the year
		Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)
(A)	Promoters									
1	Indian									
(a)	Individual /HUF	22,53,121	-	22,53,121	6.89	8,15,836	-	8,15,836	2.52	(4.37)
(b)	Central Government	-	-	-	-	-	-	-	-	-
(c)	State Government(s)	-	-	-	-	-	-	-	-	-
(d)	Bodies Corporate	1,83,27,764	-	1,83,27,764	56.05	1,80,77,764	-	1,80,77,764	55.94	(0.11)
(e)	Banks / FI	-	-	-	-	-	-	-	-	-
(f)	Any Other	-	-	-	-	-	-	-	-	-
	Sub-total A(1) :	2,05,80,885	-	2,05,80,885	62.94	1,88,93,600	-	1,88,93,600	58.47	(4.47)
2	Foreign									
(a)	NRIs-Individuals	46,926	-	46,926	0.14	46,460	-	46,460	0.14	0.00
(b)	Other-Individuals	-	-	-	-	-	-	-	-	-
(c)	Bodies Corporate	-	-	-	-	-	-	-	-	-
(d)	Banks / FI	-	-	-	-	-	-	-	-	-
(e)	Any others	-	-	-	-	-	-	-	-	-
	Sub-total A(2) :	46,926	-	46,926	0.14	46,460	-	46,460	0.14	0.00
	Total shareholding of Promoter (A) = (A) (1)+(A)(2)	2,06,27,811	-	2,06,27,811	63.08	1,89,40,060	-	1,89,40,060	58.61	(4.47)
(B)	Public Shareholding									
1	Institution									
(a)	Mutual Funds	4,00,455	-	4,00,455	1.22	28,42,242	-	28,42,242	8.80	7.57
(b)	Banks / FI	16,706	-	16,706	0.05	2,147	-	2,147	0.01	0.04
(c)	Central Government	-	-	-	-	-	-	-	-	-
(d)	State Government(s)	-	-	-	-	-	-	-	-	-
(e)	Venture Capital Funds	-	-	-	-	-	-	-	-	-
(f)	Insurance Companies	-	-	-	-	-	-	-	-	-
(g)	Foreign Institutional Investors (FIIs)	72,07,026	-	72,07,026	22.04	72,66,823	-	72,66,823	22.49	0.45
(h)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-
(i)	Others (specify)	-	-	-	-	-	-	-	-	-
	Sub-total B(1) :	76,24,187	-	76,24,187	23.32	1,01,11,212	-	1,01,11,212	31.29	7.97
2	Non-Institutions									
(a)	Bodies Corporate									
(i)	Indian	4,67,039	600	4,67,639	1.43	190,705	500	1,91,205	0.59	(0.84)
(ii)	Overseas	-	-	-	-	-	-	-	-	-
(b)	Individual									
(i)	Individuals holding nominal share capital upto ₹1 lac	12,68,196	23,057	12,91,253	3.95	7,88,520	19,757	8,08,277	2.50	(1.45)
(ii)	Individuals holding nominal share capital in excess of ₹1 lac	24,76,399	-	24,76,399	7.57	19,74,033	-	19,74,033	6.11	(1.46)
(c)	Others (Specify)									
(i)	Clearing Members	941	-	941	0.00	1,21,268	-	1,21,268	0.38	0.37
(ii)	Non-Resident Indians	1,68,052	2,700	1,70,752	0.52	1,26,270	2,700	1,28,970	0.40	(0.12)
(iii)	TRUSTS	39,254	-	39,254	0.12	32,433	6,888	39,321	0.12	0.00
(iv)	NBFC registered with RBI	1,000	-	1,000	0.00	-	-	-	-	(0.00)
	Sub-total B(2) :	44,20,881	26,357	44,47,238	13.60	32,33,229	29,845	32,63,074	10.10	(3.50)
	Total Public Shareholding (B)=(B) (1)+ (B)(2)	1,20,45,068	26,357	1,20,71,425	36.92	1,33,44,441	29,845	1,33,74,286	41.39	4.47
(C)	Shares held by Custodian for GDRs & ADRs									
	Grand total (A+B+C) :	3,26,72,879	26,357	3,26,99,236	100.00	3,22,84,501	29,845	3,23,14,346	100.00	-

(ii) Shareholding of Promoters:

Sr. No	Shareholder name	Shareholding at the beginning of the year			Shareholding at the end of the year			% Change in shareholding during the year
		No. of shares	% of total shares of the Company	% of shares pledged / encumbered to total shares	No. of shares	% of total shares of the Company	% of shares pledged / encumbered to total shares	
1	Brett Enterprises Pvt. Ltd.	1,83,27,764	56.05	-	1,80,77,764	55.94	-	(0.11)
2	Nirmal Kumar Bardiya	11,25,581	3.44	-	2,00,581	0.62	-	(2.82)
3	Rahim Ullah	4,12,751	1.26	-	1,00,000	0.31	-	(0.95)
4	Deepti Agrawal	5,27,134	1.61	-	3,27,600	1.01	-	(0.60)
5	Kusum Bardiya	1,65,205	0.51	-	1,65,205	0.51	-	0.00
6	Sunil Agrawal	28,140	0.09	-	28,140	0.09	-	0.00
7	Sheela Agarwal	22,450	0.07	-	22,450	0.07	-	0.00
8	Sanjeev Agrawal	8,320	0.03	-	8,320	0.03	-	0.00
9	Hursh Agrawal	10,000	0.03	-	10,000	0.03	-	0.00
10	Neil Agrawal	466	0.00	-	-	-	-	(0.00)

Above Shareholding of promoter(s) based on the shareholding pattern of the Company.

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sr. No	Shareholder name	Shareholding at the beginning of the year		Cumulative shareholding during the year	
		No. of shares	% of share capital	No. of shares	% of share capital
1	Brett Enterprises Pvt. Ltd.				
	At the beginning of the year	1,83,27,764	56.05	1,83,27,764	56.05
	10/06/2019 (Market Sale)	(2,50,000)	(0.76)	1,80,77,764	55.28
	At the end of the year			1,80,77,764	55.94
2	Nirmal Kumar Bardiya				
	At the beginning of the year	11,25,581	3.44	11,25,581	3.44
	10/06/2019 (Market Sale)	(7,00,000)	(2.14)	4,25,581	1.30
	02/08/2019 (Market sale)	(2,25,000)	(0.68)	2,00,581	0.61
	At the end of the year			2,00,581	0.62
3	Rahim Ullah				
	At the beginning of the year	4,12,751	1.26	4,12,751	1.26
	10/06/2019 (Market Sale)	(3,12,751)	(0.96)	1,00,000	0.31
	At the end of the year			1,00,000	0.31
4	Deepti Agrawal				
	At the beginning of the year	5,27,134	1.61	5,27,134	1.61
	10/06/2019 (Market Sale)	(4,00,000)	(1.22)	1,27,134	0.39
	20/12/2019 (Interse transfer)	251	0.00	1,27,385	0.40
	30/12/2019 (Interse transfer)	215	0.00	1,27,600	0.40
	06/03/2020 (Market Purchase)	50,000	0.15	1,77,600	0.55
	11/03/2020 (Market Purchase)	50,000	0.15	2,27,600	0.70
	23/03/2020 (Market Purchase)	1,00,000	0.31	3,27,600	1.01
	At the end of the year			3,27,600	1.01
5	Kusum Bardiya				
	At the beginning of the year	165,205	0.51	1,65,205	0.51
	Increase / Decrease		No Change		
	At the end of the year			1,65,205	0.51
6	Sunil Agrawal				
	At the beginning of the year	28,140	0.09	28,140	0.09
	Increase / Decrease		No Change		
	At the end of the year			28,140	0.09
7	Sheela Agarwal				
	At the beginning of the year	22,450	0.07	22,450	0.07
	Increase / Decrease		No Change		
	At the end of the year			22,450	0.07
8	Sanjeev Agrawal				
	At the beginning of the year	8,320	0.03	8,320	0.03
	Increase / Decrease		No Change		
	At the end of the year			8,320	0.03
9	Hursh Agrawal				
	At the beginning of the year	10,000	0.03	10,000	0.03
	Increase/Decrease		No Change		
	At the end of the year			10,000	0.03
10	Neil Agrawal				
	At the beginning of the year	466	0.00	466	0.00
	20/12/2019 (Interse transfer)	(251)	(0.00)	215	0.00
	30/12/2019 (Interse transfer)	(215)	(0.00)	-	-
	At the end of the year			-	-

* Date of Purchase / Sale based on disclosure submitted by promoter(s) to Company and shareholding based on shareholding pattern. Percentage calculated on the capital as on date of transaction.

(iv) Shareholding Pattern of Top 10 Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sr. No	Shareholder name	Shareholding at the beginning of the year		Cumulative shareholding during the year	
		No. of shares	% of share capital	No. of shares	% of share capital
1	Nalanda India Fund Limited				
	At the beginning of the year	33,59,713	10.27	33,59,713	10.27
	Increase / Decrease		No Change		
	At the end of the year			33,59,713	10.40
2	Motilal Oswal Multicap 35 Fund*				
	At the beginning of the year	-	-	-	-
	14/06/2019 (Market Purchase)	17,63,377	5.38	17,63,377	5.38
	02/08/2019 (Market Purchase)	3,11,623	0.95	20,75,000	6.30
	09/08/2019 (Market Purchase)	2,25,000	0.68	23,00,000	6.98
	27/09/2019 (Market Purchase)	342	0.00	23,00,342	7.03
	30/09/2019 (Market Purchase)	59	0.00	23,00,401	7.03
	11/10/2019 (Market Purchase)	7	0.00	23,00,408	7.07
	18/10/2019 (Market Purchase)	8	0.00	23,00,416	7.12
	25/10/2019 (Market Purchase)	16	0.00	23,00,432	7.12
	08/11/2019 (Market Purchase)	18	0.00	23,00,450	7.14
	15/11/2019 (Market Purchase)	9	0.00	23,00,459	7.14
	22/11/2019 (Market Purchase)	11	0.00	23,00,470	7.14
	29/11/2019 (Market Purchase)	6	0.00	23,00,476	7.17
	06/12/2019 (Market Purchase)	13	0.00	23,00,489	7.16
	13/12/2019 (Market Purchase)	7	0.00	23,00,496	7.16
	20/12/2019 (Market Purchase)	14	0.00	23,00,510	7.15
	27/12/2019 (Market Sale)	(1)	(0.00)	23,00,509	7.15
	31/12/2019 (Market Purchase)	4	0.00	23,00,513	7.15
	03/01/2020 (Market Purchase)	14	0.00	23,00,527	7.15
	10/01/2020 (Market Sale)	(9)	(0.00)	23,00,518	7.15
	17/01/2020 (Market Purchase)	50,095	0.16	23,50,613	7.30
	24/01/2020 (Market Purchase)	20,078	0.06	23,70,691	7.37
	31/01/2020 (Market Purchase)	20,168	0.06	23,90,859	7.42
	07/02/2020 (Market Purchase)	20,020	0.06	24,10,879	7.48
	14/02/2020 (Market Purchase)	10,003	0.03	24,20,882	7.50
	28/02/2020 (Market Purchase)	29,998	0.09	24,50,880	7.59
	06/03/2020 (Market Purchase)	24,984	0.08	24,75,864	7.66
	13/03/2020 (Market Purchase)	13	0.00	24,75,877	7.66
	20/03/2020 (Market Sale)	(68)	(0.00)	24,75,809	7.66
	27/03/2020 (Market Purchase)	22	0.00	24,75,831	7.66
31/03/2020 (Market Purchase)	2	0.00	24,75,833	7.66	
	At the end of the year		-	24,75,833	7.66
3	Malabar India Fund Limited				
	At the beginning of the year	22,86,613	6.99	22,86,613	6.99
	22/11/2019 (Market Sale)	(42,000)	(0.13)	22,44,613	6.96
	10/01/2020 (Market Sale)	(10,600)	(0.03)	22,34,013	6.94
	17/01/2020 (Market Sale)	(48,200)	(0.15)	21,85,813	6.79
	24/01/2020 (Market Sale)	(12,200)	(0.04)	21,73,613	6.75
	31/01/2020 (Market Sale)	(21,869)	(0.07)	21,51,744	6.67
	07/02/2020 (Market Sale)	(5,324)	(0.02)	21,46,420	6.66
	14/02/2020 (Market Sale)	(1,807)	(0.01)	21,44,613	6.64
	20/03/2020 (Market Purchase)	10,940	0.03	21,55,553	6.67
	27/03/2020 (Market Purchase)	22,660	0.07	21,78,213	6.74
	31/03/2020 (Market Purchase)	6,605	0.02	21,84,818	6.76
		At the end of the year		-	21,84,818

Sr. No	Shareholder name	Shareholding at the beginning of the year		Cumulative shareholding during the year	
		No. of shares	% of share capital	No. of shares	% of share capital
4	Taiyo Greater India Fund Ltd.				
	At the beginning of the year	5,93,081	1.81	5,93,081	1.81
	14/06/2019 (Market Purchase)	36,228	0.11	6,29,309	1.92
	21/06/2019 (Market Purchase)	10,000	0.03	6,39,309	1.95
	26/07/2019 (Market Purchase)	96,757	0.29	7,36,066	2.24
	09/08/2019 (Market Purchase)	24,915	0.08	7,60,981	2.31
	08/11/2019 (Market Sale)	(5,017)	(0.02)	7,55,964	2.35
	14/02/2020 (Market Sale)	(14,566)	(0.05)	7,41,398	2.30
	20/03/2020 (Market Sale)	(4,640)	(0.01)	7,36,758	2.28
	27/03/2020 (Market Purchase)	307	0.00	7,37,065	2.28
	31/03/2020 (Market Purchase)	9,292	0.03	7,46,357	2.31
At the end of the year		-	7,46,357	2.31	
5	Vijay Kedia				
	At the beginning of the year	7,83,608	2.40	7,83,608	2.40
	06/09/2019 (Market Sale)	(10,000)	(0.03)	7,73,608	2.36
	13/09/2019 (Market Sale)	(13,608)	(0.04)	7,60,000	2.32
	20/09/2019 (Market Sale)	(53,550)	(0.16)	7,06,450	2.16
	27/09/2019 (Market Sale)	(6,450)	(0.02)	7,00,000	2.14
At the end of the year		-	7,00,000	2.17	
6	Ashish Kacholia				
	At the beginning of the year	475,182	1.45	4,75,182	1.45
	14/06/2019 (Market Purchase)	10,000	0.03	4,85,182	1.48
	22/11/2019 (Market Purchase)	14,000	0.04	4,99,182	1.55
At the end of the year		-	4,99,182	1.54	
7	Malabar Value Fund				
	At the beginning of the year	4,00,455	1.22	4,00,455	1.22
	27/09/2019 (Market Sale)	(1,800)	(0.01)	3,98,655	1.22
	30/09/2019 (Market Sale)	(595)	(0.00)	3,98,060	1.22
	04/10/2019 (Market Sale)	(3,426)	(0.01)	3,94,634	1.21
	22/11/2019 (Market Sale)	(10,762)	(0.03)	3,83,872	1.19
	06/12/2019 (Market Sale)	(780)	(0.00)	3,83,092	1.19
	17/01/2020 (Market Sale)	(8,632)	(0.03)	3,74,460	1.16
	24/01/2020 (Market Sale)	(2,600)	(0.01)	3,71,860	1.16
	31/01/2020 (Market Sale)	(4,403)	(0.01)	3,67,457	1.14
	07/02/2020 (Market Sale)	(1,064)	(0.00)	3,66,393	1.14
	14/02/2020 (Market Sale)	(133)	(0.00)	3,66,260	1.13
	At the end of the year		-	3,66,260	1.13
8	Old Well Emerging Markets Master Fund, L.P.				
	At the beginning of the year	2,92,171	0.89	2,92,171	0.89
	Increase / Decrease		No Change		
At the end of the year			2,92,171	0.90	
9	Grandeur Peak Emerging Markets Opportunities Fund				
	At the beginning of the year	2,56,319	0.78	2,56,319	0.78
	26/07/2019 (Market Sale)	(31,000)	(0.09)	2,25,319	0.69
	20/03/2020 (Market Sale)	(21,994)	(0.07)	2,03,325	0.63
	27/03/2020 (Market Sale)	(3,963)	(0.01)	1,99,362	0.62
At the end of the year		-	1,99,362	0.62	

Sr. No	Shareholder name	Shareholding at the beginning of the year		Cumulative shareholding during the year	
		No. of shares	% of share capital	No. of shares	% of share capital
10	HEM Securities Limited*				
	At the beginning of the year	88,645	0.27	88,645	0.27
	05/04/2019 (Market Purchase)	8	0.00	88,653	0.27
	12/04/2019 (Market Sale)	(29,896)	(0.09)	58,757	0.18
	26/04/2019 (Market Purchase)	568	0.00	59,325	0.18
	03/05/2019 (Market Purchase)	1,411	0.00	60,736	0.19
	10/05/2019 (Market Purchase)	2,548	0.01	63,284	0.19
	17/05/2019 (Market Purchase)	639	0.00	63,923	0.20
	24/05/2019 (Market Sale)	(2,847)	(0.01)	61,076	0.19
	31/05/2019 (Market Sale)	(41,729)	(0.13)	19,347	0.06
	07/06/2019 (Market Sale)	(468)	(0.00)	18,879	0.06
	14/06/2019 (Market Purchase)	11,046	0.03	29,925	0.09
	21/06/2019 (Market Purchase)	7	0.00	29,932	0.09
	28/06/2019 (Market Purchase)	4,233	0.01	34,165	0.10
	05/07/2019 (Market Purchase)	51,413	0.16	85,578	0.26
	12/07/2019 (Market Purchase)	10,784	0.03	96,362	0.29
	19/07/2019 (Market Purchase)	555	0.00	96,917	0.30
	26/07/2019 (Market Purchase)	9,832	0.03	1,06,749	0.33
	02/08/2019 (Market Sale)	(5,839)	(0.02)	1,00,910	0.31
	09/08/2019 (Market Sale)	(6,090)	(0.02)	94,820	0.29
	16/08/2019 (Market Sale)	(12,683)	(0.04)	82,137	0.25
	23/08/2019 (Market Sale)	(24,459)	(0.07)	57,678	0.18
	30/08/2019 (Market Sale)	(53,944)	(0.16)	3,734	0.01
	13/09/2019 (Market Sale)	(3,732)	(0.01)	2	0.00
	20/09/2019 (Market Purchase)	500	0.00	502	0.00
	04/10/2019 (Market Purchase)	20,293	0.06	20,795	0.06
	11/10/2019 (Market Purchase)	4,705	0.01	25,500	0.08
	01/11/2019 (Market Purchase)	500	0.00	26,000	0.08
	15/11/2019 (Market Purchase)	500	0.00	26,500	0.08
	03/01/2020 (Market Purchase)	20	0.00	26,520	0.08
	10/01/2020 (Market Sale)	(20)	(0.00)	26,500	0.08
	31/01/2020 (Market Purchase)	2,200	0.01	28,700	0.09
	07/02/2020 (Market Purchase)	44,000	0.14	72,700	0.23
	14/02/2020 (Market Purchase)	20,990	0.07	93,690	0.29
	21/02/2020 (Market Sale)	(1,975)	(0.01)	91,715	0.28
	28/02/2020 (Market Sale)	(2,807)	(0.01)	88,908	0.28
	06/03/2020 (Market Purchase)	23,678	0.07	1,12,586	0.35
	13/03/2020 (Market Sale)	(17,004)	(0.05)	95,582	0.30
	20/03/2020 (Market Purchase)	8,918	0.03	1,04,500	0.32
	27/03/2020 (Market Purchase)	6,409	0.02	1,10,909	0.34
	31/03/2020 (Market Purchase)	30,312	0.09	1,41,221	0.44
	At the end of the year		-	1,41,221	0.44
11	Mentor Capital Limited#				
	At the beginning of the year	1,38,330	0.42	1,38,330	0.42
	02/08/2019 (Market Sale)	(10,000)	(0.03)	1,28,330	0.39
	04/10/2019 (Market Sale)	(75,000)	(0.23)	53,330	0.16
	15/11/2019 (Market Sale)	(10,053)	(0.03)	43,277	0.13
	29/11/2019 (Market Sale)	(43,277)	(0.13)	-	-
	At the end of the year		-	-	-

Sr. No	Shareholder name	Shareholding at the beginning of the year		Cumulative shareholding during the year	
		No. of shares	% of share capital	No. of shares	% of share capital
12	Hem Chand Jain [#]				
	At the beginning of the year	1,25,600	0.38	1,25,600	0.38
	14/06/2019 (Market Sale)	(50,600)	(0.15)	75,000	0.23
	21/06/2019 (Market Purchase)	900	0.00	75,900	0.23
	28/06/2019 (Market Purchase)	1,100	0.00	77,000	0.23
	02/08/2019 (Market Sale)	(75,000)	(0.23)	2,000	0.01
	30/08/2019 (Market Sale)	(2,000)	(0.01)	-	-
	03/01/2020 (Market Purchase)	8,000	0.02	8,000	0.02
	07/02/2020 (Market Sale)	(8,000)	(0.02)	-	-
	28/02/2020 (Market Purchase)	2,800	0.01	2,800	0.01
	20/03/2020 (Market Purchase)	3,115	0.01	5,915	0.02
	27/03/2020 (Market Sale)	(5,746)	(0.02)	169	0.00
	31/03/2020 (Market Purchase)	1,831	0.01	2,000	0.01
	At the end of the year	-	-	2,000	0.01

1. *Not in the list of Top 10 shareholders as on 01-04-2019. The same has been reflected above since the shareholder was one of the Top 10 shareholders as on 31-03-2020.

2. [#]Ceased to be in the list of top 10 shareholders as on 31-03-2020. The same is reflected above since the shareholder was one of the Top 10 shareholders as on 01-04-2019

3. The above data for Sale/Purchase in shareholding is taken from weekly Benpos received from depositories on PAN basis.

4. Percentage calculated on the paid up capital of the Company in the record of RTA as on the date of change in shareholding.

(v) Shareholding of Directors and Key Managerial Personnel:

Sr. No	Name of Directors and KMPs	Shareholding at the beginning of the year		Cumulative shareholding during the year	
		No. of shares	% of share capital	No. of shares	% of share capital
1	Sheela Agarwal				
	At the beginning of the year	22,450	0.07	22,450	0.07
	Increase / Decrease		No Change		
	At the end of the year			22,450	0.07
2	Nirmal Kumar Bardiya				
	At the beginning of the year	11,25,581	3.44	11,25,581	3.44
	10/06/2019 (Market Sale)	(7,00,000)	(2.14)	4,25,581	1.30
	02/08/2019 (Market sale)	(2,25,000)	(0.68)	2,00,581	0.61
	At the end of the year			2,00,581	0.62
3	Rahim Ullah ¹				
	At the beginning of the year	4,12,751	1.26	4,12,751	1.26
	10/06/2019 (Market Sale)	(3,12,751)	(0.96)	1,00,000	0.31
	At the end of the year			1,00,000	0.31
4	Sunil Agrawal, Managing Director				
	At the beginning of the year	28,140	0.09	28,140	0.09
	Increase / Decrease		No Change		
	At the end of the year			28,140	0.09
5	Puru Aggarwal, Group President ²				
	At the beginning of the year	10,430	0.03	10,430	0.03
	Increase / Decrease		No Change		
	At the end of the year			10,430	0.03
6	Vineet Ganeriwala, Group CFO ³				
	At the beginning of the year	-	-	-	-
	27/03/2020 (Market Purchase)	1,200	(0.00)	1,200	0.00
	At the end of the year			1,200	0.00

¹ Mr. Rahim Ullah ceased as director w.e.f. 12/10/2019.

² Mr. Puru Aggarwal promoted from the position of Group CFO (KMP) to Group President Strategy & Business development w.e.f 21/02/2020.

³ Mr. Vineet Ganeriwala appointed as Group CFO w.e.f 21/02/2020.

Note: No other Director and KMP held any shares during the financial year 2019-20.

V. Indebtedness:

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(Amount in ₹)

Particulars	Secured Loans excluding Deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
(i) Principal amount	66,39,55,899	-	-	66,39,55,899
(ii) Interest due but not paid	-	-	-	-
(iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	66,39,55,899	-	-	66,39,55,899
Change in Indebtedness during the financial year	-	-	-	-
Addition	-	-	-	-
Reduction	1,96,32,889	-	-	1,96,32,889
Net Change	1,96,32,889	-	-	1,96,32,889
Indebtedness at the end of the financial year	-	-	-	-
(i) Principal amount	64,43,23,010	-	-	64,43,23,010
(ii) Interest due but not paid	-	-	-	-
(iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	64,43,23,010	-	-	64,43,23,010

VI. Remuneration of Directors and Key Managerial Personnel:

A. Remuneration to Managing Director, Whole Time Directors and/or Manager:

(Amount in ₹)

Sr. No.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount
		Mr. Sunil Agrawal Managing Director	Mr. Rahimullah Whole Time Director ¹	
1.	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	17,50,000	17,50,000
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2.	Stock Option	-	-	-
3.	Sweat Equity	-	-	-
4.	Commission			
	- as % of profit			
	- others			
5.	Others	-	-	-
	Total (A)		17,50,000	17,50,000

¹ Mr. Rahim Ullah ceased as director w.e.f. 12/10/2019.

B. Remuneration to other Directors:

(Amount in ₹)

Sr. No	Particulars of Remuneration	Name of Directors					Total Amount
		Mr. Sunil Goyal	Mr. Harsh Bahadur	Ms. Monica Justice	Mr. James Patrick Clarke	Mr. Santigo Rocés	
1.	Independent Directors						
	• Fee for attending board/ committee meetings	10,75,000	11,25,000	-	-	-	22,00,000
	• Commission	-	18,75,000	21,23,729	47,03,725	19,16,115	1,06,18,569
	• Others	-	-	-	-	-	-
	Total (B) (1)	10,75,000	30,00,000	21,23,729	47,03,725	19,16,115	1,28,18,569
2.	Other Non -Executive Directors						
	• Fee for attending board / committee meetings		5,25,000		8,15,000	-	13,40,000
	• Commission		-		-	-	-
	• Others		-		-	-	-
	Total (B) (2)		5,25,000		8,15,000	-	13,40,000
	Total (B) = (B)(1)+(B)(2)						1,41,58,569

Note: The remuneration paid to Executive & Non-Executive Directors are within the ceiling under Section 197 read with Schedule V of the Companies Act, 2013 and shareholders' approval.

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD:

(Amount in ₹)

Sr. No.	Particulars of Remuneration	Key Managerial Personnel			Total Amount
		Mr. Puru Aggarwal, Group President ¹	Mr. Vineet Ganeriwala Group CFO ²	Mr. Sushil Sharma, Company Secretary	
1.	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	1,00,37,697	32,86,497	15,87,470	1,58,15,867
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961				
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961				
2.	Stock Option	1,77,99,718		63,742	1,78,63,460
3.	Sweat Equity				
4.	Commission				
	- as % of profit				
	- others				
5.	Others	24,78,000			24,78,000
	Total	3,03,15,415	32,86,497	16,51,212	3,61,57,327

¹Mr. Puru Aggarwal promoted from the position of Group CFO to Group President-Strategy & Business development w.e.f 21/02/2020. The above remuneration of his tenure as CFO (KMP).

²Mr. Vineet Ganeriwala appointed as Group CFO w.e.f 21/02/2020 and the remuneration is from 21/02/2020 to 31/03/2020.

VII. Penalties / Punishment/ Compounding of Offences::

There is no penalty/punishment/compounding of offences levied against company, its directors and officers in default under Companies Act by RD/NCLT/ Court.