



# VAIBHAV GLOBAL LIMITED

Ref: VGL/CS/2022/51

Date: 29<sup>th</sup> May, 2022

**National Stock Exchange of  
India Limited (NSE)**  
Exchange Plaza, C-1, Block G,  
Bandra Kurla Complex,  
Bandra, Mumbai – 400 051  
Symbol: VAIBHAVGBL

**BSE Limited**  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400 001  
Scrip Code: 532156

**Subject: Annual Secretarial Compliance Report for the financial year ended 31<sup>st</sup> March, 2022**

Dear Sir / Madam,

With reference to above captioned subject, we would like to submit herewith Annual Secretarial Compliance Report of the Company for financial year ended 31<sup>st</sup> March, 2022 issued by M/s Vinod Kothari & Company, Practicing Company Secretaries.

Kindly take the same on record.

Thanking you,

Yours Truly,

For Vaibhav Global Limited

  
Sushil Sharma  
Company Secretary



## Secretarial Compliance Report of Vaibhav Global Limited for the year ended March 31, 2022

*[Pursuant to the SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February, 2019]*

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We, Vinod Kothari & Company, Practicing Company Secretaries have examined:

- (a) all the documents and records made available to us and explanation provided by Vaibhav Global Limited ("Company");
- (b) the filings/ submissions made by the Company to the stock exchanges;
- (c) website of the Company;
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2022 ("**Review Period**") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Listing Regulations);
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations);
- (d) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 to the extent applicable to the Company;
- (e) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 to the extent applicable to the Company;
- (f) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 till August 12, 2021 and (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 thereafter; and

# VINOD KOTHARI & COMPANY

Practising Company Secretaries

A-467, First Floor

Defence Colony, New Delhi-110024

Phone: 011-41315340

Email: [corplaw@vinodkothari.com](mailto:corplaw@vinodkothari.com)

Web: [www.vinodkothari.com](http://www.vinodkothari.com)

(g) The circulars/ guidelines issued under the aforesaid Regulations.

Based on the above examination, we hereby report that, during the Review Period:

- (a) The Company has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- (b) The Company has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) There has been no action taken against the Company/ its promoters/ directors/ material subsidiaries, if any, either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:
- (d) The Company has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 <sup>st</sup> March, 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NIL				

- (e) The Company has complied with the provisions of SEBI Circular CIR/CFD/CMD1/114/2019 dated October 18, 2019, in terms of Para 6 (A) and 6 (B) of the said circular being suitably included in the terms of appointment of its statutory auditors.

For M/s Vinod Kothari & Company  
Company Secretaries in Practice  
Unique Code: P1996WB042300

NITU

PODDAR

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PODDAR  
Date: 2022.05.27  
18:05:18 +05'30'

Nitu Poddar  
Partner

Membership No: A37398

CP No:15113

UDIN: A037398D000409187

Peer Review Certificate No: 781/2020

Place: New Delhi  
Date: May 27, 2022

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Mumbai Office: 403-406 175 Shreyas Chambers, D.N. Road, Fort, Mumbai-400001  
Kolkata Office: 1006-1009, Krishna Building, 224 A.J.C. Bose Road Kolkata – 700017